



Usage Guideline

auth.092.001.04_ESMAUG_DATREJ_1.0.0

EMIR Refit - Outgoing Messages - For ITSC Approval -
Batch 2 - V1.1.0

This document describes a usage guideline restricting the base message
auth.092.001.04. You can also consult this [information online](#).

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Message Functionality

Collection Description

EMIR Refit - Outgoing Messages - For ITSC Approval - Batch 2 - V1.1.0 ([link](#))

Usage Guideline Description

auth.092.001.04_ESMAUG_DATREJ_1.0.0 ([link](#))

The DerivativesTradeRejectionStatisticalReport message is sent by the trade repository (TR) to the report submitting entity, identifying the transactions rejected and the reasons for a rejection.

Outline

The auth.092.001.04_ESMAUG_DATREJ_1.0.0 message is composed of 2 building blocks

a - No Transaction [NOTX]

No transaction has been identified during the period.

b - Report

Detailed statistics per counterparty.

About this document

Legend

Abbreviation	Term	Description
X	Excluded	An optional field or element must not be populated.
I	Ignored	A field or element could be populated but is ignored by the receiver.
[x..y]	Multiplicity	A field or element multiplicity has changed.
FV	Fixed Value	A field or element must contain a given value.
T/C	Type / Code Change	A user-defined datatype replaces an existing simple datatype.
A	Element Added	A field or element has been added.

Header	Description
Index	Element reference
LvL	Element nesting in tree hierarchy
Name	Element name
XML Tag	Element XML tag
Mult	Element multiplicity
Type / Code	Element formatting
Rest	Restriction type
Additional details	Other restriction specifics

Type/Code Notation	Describes the Element	Examples
text{m,M}	minimum (m) and maximum (M) length	text{1,35}
text{L}	maximum (L) length, minimum length is 0	test{10}
m <= decimal <= M	minimum (m) and maximum (M) values	0.01 <= decimal <= 9999.99
fd = F, td = T	maximum fractional (F) and total (T) number of digits	fd = 2, td = 11
<<regular expression>>	regular expression pattern	[A-Z]{6,6}([A-Z0-9]{3,3}){0,1}

Index	Lv l	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
	0	Derivatives Trade Rejection Statistical Report V04 (auth.092.001.04)	<DerivsTradRjctnSttstclRpt>				
	1	Rejection Statistics	<RjctnSttstcs>	[1..1]	Choice		
	2	Data Set Action	<DataSetActn>	[1..1]	text		
	3	No Transaction			NOTX		
	2	Report	<Rpt>	[1..1]		[1..1]	
	3	Reference Date	<RefDt>	[1..1]	date		
	3	Total Number Of Reports	<TtINbOfRpts>	[1..1]	0 <= decimal td = 20 fd = 0		
	3	Total Number Of Reports Accepted	<TtINbOfRptsAccptd>	[1..1]	0 <= decimal td = 20 fd = 0		
	3	Total Number Of Reports Rejected	<TtINbOfRptsRjctd>	[1..1]	0 <= decimal td = 20 fd = 0		
	3	Total Number Of Transactions	<TtINbOfTx>	[1..1]	0 <= decimal td = 20 fd = 0		
	3	Total Number Of Transactions Accepted	<TtINbOfTxAccptd>	[1..1]	0 <= decimal td = 20 fd = 0		
	3	Total Number Of Transactions Rejected	<TtINbOfTxRjctd>	[1..1]	0 <= decimal td = 20 fd = 0		
	3	Rejection Statistics	<RjctnSttstcs>	[1..*]			
	4	Counterparty Identification	<CtrPtyId>	[1..1]			
	5	Reporting Counterparty	<RptgCtrPty>	[0..1]	Choice		
	6	LEI	<LEI>	[1..1]	text [A-Z0-9]{18,18}[0-9]{2,2}		
	6	Other	<Othr>	[1..1]			
	7	Identification	<Id>	[1..1]			
	8	Identification	<Id>	[1..1]	text{1,72}		
	5	Report Submitting Entity	<RptSubmitgNtty>	[0..1]	Choice		

Index	Lv l	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
	6	LEI	<LEI>	[1..1]	text [A-Z0-9]{18,18}[0-9]{2,2}		
	6	Other	<Othr>	[1..1]			
	7	Identification	<Id>	[1..1]			
	8	Identification	<Id>	[1..1]	text{1,72}		
	5	Entity Responsible For Report	<NttyRspnsblFor Rpt>	[0..1]	Choice		
	6	LEI	<LEI>	[1..1]	text [A-Z0-9]{18,18}[0-9]{2,2}		
	6	Other	<Othr>	[1..1]			
	7	Identification	<Id>	[1..1]			
	8	Identification	<Id>	[1..1]	text{1,72}		
	4	Report Statistics	<RptSttstcs>	[1..1]			
	5	Total Number Of Reports	<TtlNbOfRpts>	[1..1]	0 <= decimal td = 20 fd = 0		
	5	Accepted Total Number Of Reports	<TtlNbOfRptsAcc ptd>	[1..1]	0 <= decimal td = 20 fd = 0		
	5	Rejected Total Number Of Reports	<TtlNbOfRptsRjct d>	[1..1]	0 <= decimal td = 20 fd = 0		
	5	Number Of Reports Rejected Per Error	<NbOfRptsRjctd PerErr>	[0..*]			
	6	Detailed Number	<DtldNb>	[1..1]	text [0-9]{1,15}		
	6	Report Status	<RptSts>	[1..*]			
	7	Message Report Identification	<MsgRptId>	[1..1]	text{1,140}		
	7	Status	<Sts>	[1..1]	text		
	8	Accepted			ACPT		
	8	Rejected			RJCT		
	8	Incorrect Filename			INCF		

Index	Lv l	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
	8	Corrupted File			CRPT		
	8	Not Authorised			NAUT		
	7	Rule Detailed Validation	<DtldVldtnRule>	[0..1]			
	8	Identification	<Id>	[1..1]	text{1,35}		
	8	Description	<Desc>	[0..1]	text{1,350}		
	8	Scheme Name	<SchmeNm>	[0..1]	Choice		
	9	Code	<Cd>	[1..1]	text{1,4}		
	9	Proprietary	<Prtry>	[1..1]	text{1,35}		
	8	Issuer	<Issr>	[0..1]	text{1,35}		
	4	Derivative Statistics	<DerivSttstcs>	[1..1]	Choice		
	5	Data Set Action	<DataSetActn>	[1..1]	text		
	6	No Transaction			NOTX		
	5	Detailed Statistics	<DtldSttstcs>	[1..1]			
	6	Total Number Of Transactions	<TtlNbOfTx>	[1..1]	0 <= decimal td = 20 fd = 0		
	6	Total Number Of Transactions Accepted	<TtlNbOfTxAcc ptd>	[1..1]	0 <= decimal td = 20 fd = 0		
	6	Total Number Of Transactions Rejected	<TtlNbOfTxRjct d>	[1..1]	0 <= decimal td = 20 fd = 0		
	6	Reason Transactions Rejections	<TxRjctnsRsn>	[0..*]		[0..5 0000 0]	
	7	Transaction Identification	<TxId>	[1..1]			
	8	Action Type	<ActnTp>	[0..1]	text		
	9	Compression			COMP		
	9	Correction			CORR		
	9	Error			EROR		
	9	Modification			MODI		
	9	Transaction New			NEWT		
	9	Component Position			POSC		

Index	Lv l	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
	9	Revive			REVI		
	9	Termination			TERM		
	9	Valuation			VALU		
	9	Update					
	9	Margin Update			MARU		
	8	Reporting Time	<RptgTmStmp>	[0..1]	dateTime		
	8	Stamp					
	8	Derivative Event	<DerivEvtTp>	[0..1]	text		
	8	Type					
	9	Allocation			ALOC		
	9	Clearing			CLRG		
	9	Compression			COMP		
	9	Corporate			CORP		
	9	Action					
	9	Credit Event			CREV		
	9	Early			ETRM		
	9	Termination					
	9	Exercise			EXER		
	9	Inclusion In			INCP		
	9	Position					
	9	Novation			NOVA		
	9	Trade			TRAD		
	9	Update			UPDT		
	8	Derivative Event	<DerivEvtTmStm p>	[0..1]	Choice		
	8	Time Stamp					
	9	Date	<Dt>	[1..1]	date		
	8	Other Counterparty	<OthrCtrPty>	[0..1]	Choice		
	9	Legal	<Lgl>	[1..1]			
	10	Identification	<Id>	[1..1]	Choice		
	11	LEI	<LEI>	[1..1]	text [A-Z0-9]{18,18}[0-9]{2,2}		
	11	Other	<Othr>	[1..1]			
	12	Identification	<Id>	[1..1]			

Index	Lv l	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
	13	Identification	<Id>	[1..1]	text{1,72}		
	9	Natural	<Ntrl>	[1..1]			
	10	Identification	<Id>	[1..1]			
	11	Identification	<Id>	[1..1]			
	12	Identification	<Id>	[1..1]	text{1,72}		
	10	Country	<Ctry>	[0..1]	text [A-Z]{2,2}		
	8	Unique Identifier	<Unqldr>	[0..1]	Choice		
	9	Transaction Identifier	<UnqTxldr>	[1..1]	text [A-Z0-9]{18}[0-9]{2}[A-Z0-9]{0,32}		
	9	Proprietary	<Prtry>	[1..1]			
	10	Identification	<Id>	[1..1]	text{1,72}		
	8	Collateral Portfolio	<CollPrtlCd>	[0..1]	Choice		
	9	Portfolio	<Prtl>	[1..1]	Choice		
	10	Code	<Cd>	[1..1]	text{1,52}		
	10	No Portfolio	<NoPrtl>	[1..1]	text{0,4}		
	11	Not Applicable			NOAP		
	7	Status	<Sts>	[1..1]	text		
	8	Accepted			ACPT		
	8	Rejected			RJCT		
	8	Incorrect			INCF		
	8	Filename					
	8	Corrupted File			CRPT		
	8	Not Authorised			NAUT		
	7	Detailed Validation	<DtldVldtnRule>	[0..*]			
	8	Rule					
	8	Identification	<Id>	[1..1]	text{1,35}		
	8	Description	<Desc>	[0..1]	text{1,350}		
	8	Scheme Name	<SchmeNm>	[0..1]	Choice		
	9	Code	<Cd>	[1..1]	text{1,4}		

Index	Lv l	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
	9	Proprietary	<Prtry>	[1..1]	text{1,35}		
	8	Issuer	<Issr>	[0..1]	text{1,35}		

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